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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/851,745	05/09/2001	William Rex Akers	HHTC 3423000	3915
21909	7590	03/04/2009	EXAMINER	
CARR LLP			MORGAN, ROBERT W	
670 FOUNDERS SQUARE			ART UNIT	PAPER NUMBER
900 JACKSON STREET				
DALLAS, TX 75202			3626	
			MAIL DATE	DELIVERY MODE
			03/04/2009	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/851,745	AKERS ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	ROBERT W. MORGAN	3626	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 24 November 2008.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-35 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-35 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ .                                    |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ .  | 6) <input type="checkbox"/> Other: _____ .                        |

**DETAILED ACTION**

*Notice to Applicant*

1. This communication is in response to the amendment filed 11/24/08, the following has occurred: claims 1, 10, 15, 20, 23, 24, 27 and 29 have been amended. Claims 1-35 are presented for examination.

*Claim Rejections - 35 USC § 112*

2. The rejection under 35 USC § 112, second paragraph have been withdrawn based on the changes made by the Applicant to the claims

*Claim Rejections - 35 USC § 103*

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-15, 23-24, 27-31 and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,924,074 to Evans and U.S. Patent No. 7,027,872 to Thompson in view U.S. Patent No. 7,039,810 to Nichols.

As per claim 1, Evans teaches electronic medical record system that includes a server (406, Fig. 24) connected to client machines running application such as Microsoft Windows to access the data (see: column 14, lines 8-16). Evans further teaches an electronic medical record system including servers (406, Fig. 24) that allow patient data to be transfer between external

sources as well as updating the patient record (see: column 3, lines 37-43 and column 5, lines 36-40).

Evans fails to teach:

--the claimed wherein encapsulation comprises generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected; and

--the claimed encrypting the encapsulated medical record data file and transmit the encrypted, encapsulated medical record data file to a record client.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include generating values to encrypted/encapsulated medical file as taught by Thompson within the electronic medical record system as taught by Evans with the motivation of protecting privacy and ensuring data authenticity (see: Thompson: column 2, lines 39-40).

Evans and Thompson fail to teach the claimed encrypting the encapsulated medical record data file and transmit the encrypted, encapsulated medical record data file to a record client.

Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and a data encryption (see: abstract). In addition, Nichols teaches that

before sensitive information (221, Fig. 5) is transmitted across data communication media (226, Fig. 5) it is encrypted by encryption engine (230, Fig. 5) (see: column 15, lines 9-15). Data encryption has been increasingly used to add security and privacy to data, voice and video transmissions across public networks (see: column 2, lines 54-56). The Examiner considers the data to be automatically encrypted and preserved before transmission.

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to include encryption of medical information as taught by Nichols with as taught by Evans and Thompson with the motivation of providing an apparatus and method for securely transferring sensitive information, such as patient information using encryption methods to prevent abuse (see: Nichols: column 1, lines 19-24).

**Note:** Functional recitation(s) using the word “for” or other functional language (e.g. “**such that** modifications to the medical record data file are detected”) have been considered but are given little patentable weight<sup>1[1]</sup> because they fail to add any structural limitations and are thereby regarded as intended use language. A recitation of the intended use of the claimed product must result in a structural difference between the claimed product and the prior art in order to patentably distinguish the claimed product from the prior art. If the prior art structure is capable of performing the intended use, then it reads on the claimed limitation. *In re Casey*, 370 F.2d 576, 152 USPQ 235 (CCPA 1967) (“The manner or method in which such machine is to be utilized is not germane to the issue of patentability of the machine itself.”); *In re Otto*, 136 USPQ 458, 459 (CCPA 1963). See also MPEP §§ 2114 and 2115. Unless expressly noted otherwise by the Examiner, the claim interpretation principles in this paragraph apply to all system claims

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<sup>1[1]</sup> See e.g. *In re Gulack*, 703 F.2d 1381, 217 USPQ 401, 404 (Fed. Cir. 1983)(stating that

currently pending.

As per claim 2, Evans teaches the claimed record server further comprises a sync system configured to verify that the record client has received a sync file before transferring the medical record data file. This feature is met by the electronic medical record system including web servers (406, Fig. 24) that allow patient data to be transfer between external source as well as updating the patient record obviously suggesting that the comparing and checking of medical data take place to verify that an up-to-date medical record is available (see: column 3, lines 37-43 and column 5, lines 36-40).

As per claim 3, Evans teaches the claimed record server further comprises a tracking system updating configured to update a tracking record when the medical record data file is transferred. This feature is met by the tracking and description of patient data within the system (see: column 9, lines 27-37).

As per claim 4, Evans teaches the claimed record client further comprises a tracking system configured to update a tracking record when the medical record data file is accessed. This limitation is met by the electronic medical record system which updates patient's records upon a nurses or physician entry of information into the system (see: column 5, lines 29-40).

As per claim 5, Evans teaches the claimed record client further comprises a remote data system configured to generate medical record data. This limitation is met by the electronic medical record system that includes server (406 Fig. 24) that are connected to client machines

running application such as Microsoft Windows to access and generating medical data (see: column 14, lines 8-16).

As per claim 6, Evans teaches an electronic medical record system that transfers patient data from the electronic medical records system to other healthcare providers and between external sources (see: column 3, lines 36-42 and column 4, lines 64 to column 5, lines 8). In addition, Evans teaches the use of progress notes (144, Fig. 4) to summarize details of the patient's condition and to review the patient's progress over time (see: column 6, lines 31-36).

Evans fails to teach record client system further comprises a detail encapsulation system configured to receive comment data and encapsulate the comment data.

Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and a data encryption (see: abstract). In addition, Nichols teaches that before sensitive information (221, Fig. 5) is transmitted across data communication media (226, Fig. 5) it is encrypted by encryption engine (230, Fig. 5) (see: column 15, lines 9-15).

The obviousness of combining the teachings of Nichols with the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

As per claim 7, Evans teaches the claimed record server further comprises a record storage system configured to store at least one version of the medical record data file. This limitation is met by the teaching of Evans of organizing and storing of patient medical records in which are made available for access by authorized personnel (see: column 2, lines 65 to column 3, lines 3).

As per claim 8, Evans teaches the claimed record server further comprises an excerpt transfer system configured to receive medical record excerpt data and transfer it to a

predetermined recipient. This feature is met by the transferred patient data from the electronic medical records system to other healthcare providers (see: column 4, lines 64 to column 5, lines 8).

As per claim 9, Evans teaches the claimed notification system configured to transfer notification data to a party regarding the availability of medical record data. This data is met by the acknowledgment by the healthcare provider that a patient's record has been reviewed and adding to the medical record any necessary instructions or recommendations for treatment (see: column 2, lines 45-58).

As per claim 10, Evans teaches the claimed a method for transferring electronic medical files comprising:

--the claimed assembling the medical record data into a medical record data file is met by the storing and organizing of patient records in a patient repository (see: column 3, lines 9-16);

--the claimed receiving a request to transfer the medical record data file is met by the point of care system issuing a request to transfer patient data (see: column 9, lines 39-53); and

--the claimed transferring the medical record data file to a remote location is met by the transferring of patient data between external sources (see: column 3, lines 36-42).

Evans teaches electronic medical record system that includes a server (406, Fig. 24) connected to client machines running application such as Microsoft Windows to access the data (see: column 14, lines 8-16). Evans further teaches an electronic medical record system including servers (406, Fig. 24) that allow patient data to be transfer between external sources as well as updating the patient record (see: column 3, lines 37-43 and column 5, lines 36-40).

Evans fails to teach:

--the claimed encapsulating medical record data, wherein encapsulation comprises generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected; and

--the claimed encrypting the medical record data.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

**Note:** Applicant is reminded that functional recitation(s) using the word “such that” or other functional language have been considered but given less patentable weight because they fail to add any steps and are thereby regarded as intended use language. A recitation of the intended use of the claimed invention must result in additional steps. See *Bristol-Myers Squibb Co. v. Ben Venue Laboratories, Inc.*, 246 F.3d 1368, 1375-76, 58 USPQ2d 1508, 1513 (Fed. Cir. 2001) (Where the language in a method claim states only a purpose and intended result, the expression does not result in a manipulative difference in the steps of the claim.). Unless expressly noted otherwise by the Examiner, the claim interpretation principles in this paragraph apply to all method claims currently pending.

As per claim 11, Evans teaches the claimed transferring the medical record data file to further comprises transferring a sync file to the remote location. This limitation is met by the transferring of patient data between external sources (see: column 3, lines 36-42).

As per claim 12, Evans teaches the claimed assembling the medical record data into the medical record data file comprises storing a tracking record with the medical record data file. This feature is met by the electronic medical record system which stores and updates patient records upon a nurses or physician entry of information (see: column 3, lines 9-16 and column 5, lines 29-40).

As per claim 13, Evans teaches the claimed generating notification data at the remote location. This limitation is met by the acknowledgment by the healthcare provider that a patient's record has been reviewed and adding to the medical record any necessary instructions or recommendations for treatment (see: column 2, lines 45-58).

As per claim 14, Evans teaches the claimed accessing the medical record data file at the remote location (see: column 2, lines 45-47); and

--the claimed updating a tracking record to show that the medical record data file has been accessed at the remote location is met by the electronic medical record system which allows nurses and physician to access and update patient's records upon entry into the system (see: column 5, lines 29-40).

As per claim 15, Evans teaches the claimed receiving medical record data at the remote location (see: column 10, lines 18-23); and

--the claimed updating the medical record data file to include the medical record data is met by the electronic medical record system which allows nurses and physician to access and update patient's records upon entry into the system (see: column 5, lines 29-40).

Evans fails to teach the claimed encapsulating the medical record data comprises generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

As per claim 23, Evans teaches electronic medical record system including web servers (406, Fig. 24) that allow patient data to be transfer between external source as well as updating the patient record obviously suggesting that the comparing and checking of medical data take place to verify that an up-to-date medical record is available (see: column 3, lines 37-43 and column 5, lines 36-40). Evans further teaches tracking and description of patient data within the system (see: column 9, lines 27-37). In addition, Evans teaches a record server and record client coupled to the record server (see: column 14, lines 8-16). Evans also teaches a tiered password system to ensure patient confidentiality and provides several levels of security for access to patient data this suggests a nurse with the authorization to view the entire patient record may only update certain aspects according to the level of authorization (see: column 15, lines 9-32).

Evans fails to teach:

--record server configured to generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

As per claim 24, Evans teaches an electronic medical record system where upon the creation of a patient record, the patient locator (200, Fig. 13) creates a patient data structure (210, Fig. 13) having the PID and the patient's name (see: column 8, lines 29-31). The patient data structure (210, Fig. 13) maintains a pointer to an interface files structure (211, Fig. 13) having patient data transmitted from external sources (see: column 8, lines 36-38). In addition, the patient data structure (210, Fig. 13) may maintain a pointer to a legacy files structure (219, Fig. 13) having patient data transmitted from the legacy data system (106, Fig. 1), such as an image of a patient chart (see: column 8, lines 57-60).

Evans fails to teach:

--encapsulating the patient file, wherein encapsulating the patient file comprises generating a value based on the data structure of the patient file, such that modifications to the patient file are detected.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

As per claim 27, Evans teaches an electronic medical record system that transfers patient data from the electronic medical records system to other healthcare providers and between external sources (see: column 3, lines 36-42 and column 4, lines 64 to column 5, lines 8). In addition, Evans teaches the use of progress notes (144, Fig. 4) to summarize details of the patient's condition and to review the patient's progress over time (see: column 6, lines 31-36). The Examiner considers the progress notes (144, Fig. 4) to be transferred from healthcare providers to another.

Evans fails to teach receiving encapsulating data; and  
--encapsulating comment data, wherein encapsulating comment data comprises generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

As per claim 28, Evans teaches an electronic medical record system that transfers patient data from the electronic medical records system to other healthcare providers and between external sources (see: column 3, lines 36-42 and column 4, lines 64 to column 5, lines 8).

Evans fails to explicitly teach extracting an excerpt of the electronic medical record data from the electronic medical record data file comprises removing user readable patient identifying data.

Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and data encryption (see: abstract). In addition, Nichols teaches that before sensitive information (221, Fig. 5) is transmitted across data communication media (226, Fig. 5) it is encrypted by an encryption engine (230, Fig. 5) (see: column 15, lines 9-15). The Examiner considers the encrypting of the patient records to include removing user readable patient identifying data to protect confidentiality of patient's medical information.

The obviousness of combining the teaching of Nichols and Evans are discussed in the rejection of claim 1, and incorporated herein.

As per claim 29, Evans teaches an electronic medical record system that includes remote servers (406, 408, 410, Fig. 24) with medical record information (see: column 12, lines 56-63). The remote servers are connected to client machines running applications such as Microsoft Windows to access (see: column 14, lines 8-16). In addition, the web servers (406, Fig. 24) allows patient data to be transfer between external source as well as updating the patient record upon a nurse or physician entry of information into the system (see: column 5, lines 29-40 and column 9, lines 27-37). This suggests that comparing and checking of medical is taking place to verify that an up-to-date medical record is available (see: column 3, lines 37-43 and column 5, lines 36-40). Evans further teaches a tiered password system to ensure patient confidentiality and provides several levels of security for access to patient data this suggests a nurse with the

authorization to view the entire patient record may only update certain aspects according to the level of authorization (see: column 15, lines 9-32).

Evans fails to teach:

--the claimed encapsulating an electronic medical record file, wherein encapsulating an electronic medical record file comprises generating a value based on the data structure of the medical record data file, such that modifications to the medical record data file are detected;  
--the claimed encrypting the encapsulated electronic medical record file; and  
--the claimed transmitting the encrypted encapsulated electronic medical record file to a remote location.

Thompson teaches variable encryption scheme for data transfer including encrypting patient data according to the sensitive of data and default values (see: column 5, lines 58 to column 6, lines 11). In addition, Thompson teaches that medical data can be transferred across various storage, memory and server platforms (see: column 4, lines 23-26).

The obviousness of combining the teachings of Thompson within the system as taught by Evans is discussed in the rejection of claim 1, and incorporated herein.

Evans and Thompson fail to teach:

--the claimed transmitting the encrypted encapsulated electronic medical record file to a remote location.

Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and data encryption (see: abstract). In addition, Nichols teaches that before sensitive information (221, Fig. 5) is transmitted across data communication media (226, Fig. 5) it is encrypted by encryption engine (230, Fig. 5) (see: column 15, lines 9-15).

Additionally, Nichols teaches that a remote data center (224, Fig. 5) receives encrypted sensitive information (221, Fig. 5) transmitted by programmer (222, Fig. 5) and the decryption engine (234, Fig. 5) that resides on the remote data center (224, Fig. 5) decrypts the encrypted sensitive information (221, Fig. 5).

The obviousness of combining the teaching of Nichols with the system as taught by Evans and Thompson are discussed in the rejection of claim 1, and incorporated herein.

As per claim 30, Evans teaches the claimed electronic medical record file is comprises an image data file. This limitation is met by the patient data structure (210, Fig. 13) that maintain a pointer to a legacy files structure (219, Fig. 13) having patient data transmitted from the legacy data system (106, Fig. 1), such as an image of a patient chart (see: column 8, lines 57-60).

As per claim 31, Evans teaches the claimed sync file is comprises a patient file. This feature is met by the electronic medical record system including web servers (406, Fig. 24) that allow patient data to be transfer between external sources as well as updating the patient record (see: column 3, lines 37-43 and column 5, lines 36-40). The Examiner considers the updated patient record to be the sync file, which is already compared and checked to verify the availability of an up-to-date medical record.

As per claim 33, Evans teaches the claimed transferring the sync file comprises creating a patient folder. The limitation is met by the transferring of patient between external sources (see: column 3, lines 36-42). The Examiner considers the transferring of the patient record (sync file) to be creating a patient folder one the information is received at a remote location.

4. Claims 16-17, 19 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,924,074 to Evans in view of U.S. Patent No. 6,305,377 to Portwood et al.

As per claim 16, Evans teaches the claimed record server and record client coupled to the record server (see: column 14, lines 8-16).

Evans fails to teach a system for distributing packaged medical supplies comprising: a record server configured to transmit medical supply package data to a record client and to correlate the package data with verification data received from the record client; --the claimed wherein the medical supply package data identifies an at least one physical package of medical supplies.

Portwood et al. teach a prescription distribution system including a server computer communicating with other prescriber computer to transfer prescription data to the server for validation, certification, and distribution (see: abstract, column 3, lines 43-49, column 5, lines 7-10 and column 7, lines 35-37). It is respectfully submitted that prescriptions are a form of “medical supplies”.

Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to include the prescription distribution system as taught by Portwood et al. with the electronic medical record system as taught by Evans with the motivation of streamlining and incorporating automatic mail ordering, billing, and other business aspects, such as prescription verification and delivery (see: Portwood et al. column 2, lines 9-13).

As per claim 17, Evans teaches the claimed an inventory tracking system coupled to the record client and configured to update inventory data. This limitation is met by the tracking system that includes tracking and description of patient data within the system (see: column 9, lines 27-37). In addition, Evans teaches that the electronic medical record system updates patient's records upon a nurses or physician entry of information into the system (see: column 5,

lines 29-40). Furthermore, Evans teaches a record server and record client coupled to the record server (see: Evans: column 14, lines 8-16).

As per claim 19, Evans teaches the claimed record client further comprises a remote data system, the remote data system configured to generate counseling data and transmit the counseling data to the record server. This limitation is met by access of the patient record from any geographical location as well as providing prescription instruction to a patient's record (see: column 2, lines 45-58).

As per claim 35, Evans teaches the record client further comprises an image data capture device configured to generate image data, and wherein the verification data includes comprises the image data. This feature is met by the data source (370, Fig. 23) that comprises physical data (374, Fig. 23) such as paper based records and photographs, and electronic mainframe data (376, Fig. 24). The converter (372, Fig. 24) receives information from the data source (370, Fig. 24) and transforms the information into an electronic format compatible with the EMR system. For example, to input physical data (374, Fig. 24) such as paper or image based data, into a patient record, the converter (372, Fig. 24) comprises a scanner to digitize the physical data into a binary file format for incorporation into the patient's record (see: column 12, lines 35-46).

5. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,924,074 to Evans and U.S. Patent No. 6,305,377 to Portwood et al. as applied to claim 16 above, and further in view of U.S. Patent No. 7,039,810 to Nichols.

As per claim 18, Evans in combination with Portwood et al. teaches a system with a record server that verifies the data in a medical record data file.

However, Evans in combination with Portwood et al. fails to teach the encapsulating of the verification data.

Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and a data encryption (see: abstract). In addition, Nichols teaches that before sensitive information (221, Fig. 5) is transmitted across data communication media (226, Fig. 5) it is encrypted by encryption engine (230, Fig. 5) (see: column 15, lines 9-15). Additionally, Nichols teaches that a remote data center (224, Fig. 5) receives encrypted sensitive information (221, Fig. 5) transmitted by programmer (222, Fig. 5) and decrypts the encrypted sensitive information (221, Fig. 5). Data encryption has been increasingly used to add security and privacy to data, voice and video transmission across public networks (see: column 2, lines 54-56).

One of ordinary skill in the art at the time the invention was made would have found it obvious to include encryption of medical information as taught by Nichols within the combination of the electronic medical record system as taught by Evans and the prescription distribution system as taught by Portwood et al. with the motivation of providing an apparatus and method for securely transferring sensitive information such as patient information using encryption methods to prevent abuse (see: Nichols: column 1, lines 19-24).

6. Claims 20-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 6,305,377 to Portwood et al. in view of U.S. Patent No. 5,924,074 to Evans.

As per claim 20, Portwood et al. teaches a method for distributing packaged medical supplies comprising:

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--the claimed storing package data corresponding to a physical package of medical supplies is met by the data storage unit used to store patient data including prescription data (see: column 2, lines 60-66);

--the claimed transmitting the physical package to a remote site is met by the prescription distribution system that enable quicker delivery of prescription at the patient's location (see: abstract and column 5, lines 7-10); and

--the claimed authorizing release of the physical package if the stored package data matches the received package data is met by the prescription delivery message system that includes a message receiving unit connected to the CPU to receive the prescription delivery message upon delivery of the prescription and the matching of prescription data (see: column 3, lines 36-41).

Portwood et al. fails to teach the claimed receiving the package data from the remote site.

Evans teaches a system for instant access to a patient's electronic medical record from any geographical location and the transferring and receiving patient record external sources (see: column 2, lines 45-47 and column 10, lines 18-23).

Therefore, it would have been obvious to one having ordinary skill in the art at the time of the invention to include the electronic medical record system as taught by Evans with the prescription distribution system as taught by Portwood et al. with the motivation of streamlining and incorporating automatic mail ordering, billing, and other business aspects, such as prescription verification and delivery (see: Portwood et al. column 2, lines 9-13).

As per claim 21, Portwood et al. teaches the claimed generating patient counseling data. This limitation is met by the prescription message that includes instruction on how to take the medication or how to conduct various medical procedures (see: column 17, lines 17-22).

As per claim 22, Portwood et al. teaches the claimed incrementing order data after the package is released is met by the ordering of prescription refills which enable the system to keep track to increase or decrease a refill of a patient prescription (see: column 2, lines 44-47).

7. Claims 25-26 and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,924,074 to Evans.

As per claims 25-26, Evans teaches the transfer of patient data from the electronic medical records system to other healthcare providers as well as the updating of patient's record upon a nurses or physician entry of information into the system (see: column 4, lines 64 to column 5, lines 8, column 3, lines 36-42 and column 5, lines 29-40). In addition, Evans further teaches a tiered password system to ensure patient confidentiality and provides several levels of security for access to patient data (see: column 15, lines 9-32).

Although Evans fails to teach the remote system operates in an unattended mode that allows the electronic medical data to be transferred without operator input. Evans teaches that information is updated and transferred upon input by an authorized and the Examiner considers the feature of transferring data in an unattended mode to be merely automatically updating or transferring the data without an operator inputs and an old and well-known feature in the art. Therefore, it would have been obvious to a person of ordinary skill in the art to include automatically updating or transferring data without an operator inputs within the system as

taught by Evans with the motivation of providing an up-to-date medical record to authorized personnel to better treat the patient.

As per claim 32, it is rejected for the same reasons set forth in claims 25-26.

8. Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,924,074 to Evans and U.S. Patent No. 6,305,377 to Portwood et al. in view U.S. Patent No. 6,370,841 to Chudy et al.

As per claim 34, Evans and Portwood et al. teach a record server and record client coupled to the record server (see: Evans: column 14, lines 8-16).

Evans and Portwood et al. fails to teach a data reader configured to read verification data from a package.

Chudy et al. teaches automated method for dispensing bulk medication that uses scanner device (129) for transmitting scanned code to the computer (119, Fig. 25) and generating a signal for computer (119, Fig. 25) to confirm that the package correspond to the patient's drug prescription information (see: column 14, lines 54-63).

One of ordinary skill in the art at the time the invention was made would have found it obvious to include the scanner device for reading and transmitting prescription information as taught by Chudy et al. within the electronic medical record system as taught by Evans with the motivation of storing a broad range of prescription information and the ability to fill patient prescription in rapid and efficient manner (see: column 1, lines 31-33).

#### ***Response to Arguments***

Applicant's arguments filed 11/24/08 have been fully considered but they are not persuasive. Applicant's arguments will be addressed hereinbelow in the order in which they

appear in the response 11/24/08.

(A) In the remarks, Applicants argues in substance that (1) the references fail to teach "...tracking system configured to update a tracking record when the medical record data file is accessed" as recited in claims 4 and 23; (2) the references fail to teach "...removing user-readable patient identifying data" as recited in claim 28; and (3) the Evans reference fails to teach "...wherein the verification data comprises the image data" as recited in claim 35.

(B) In response to Applicant's argument that, (1) the references fail to teach "...tracking system configured to update a tracking record when the medical record data file is accessed" as recited in claims 4 and 23. The Examiner respectfully submits that Evans teaches an electronic medical record system which updates patient's records upon a nurses or physician entry of information into the system (see: column 5, lines 29-40). The Examiner considers the patient's record as being tracked once the record is updated since the record now contains current patient's information which is equivalent to updating a track record when the medical record is accessed by the nurse or physician in order to enter information.

(C) In response to Applicant's argument that, (2) the references fail to teach "...removing user-readable patient identifying data" as recited in claim 28. The Examiner respectfully submits Nichols teaches that sensitive data such as patient records are securely transferred between a programmer and data encryption (see: abstract). In addition, Nichols also teaches that the encrypted sensitive information is from a plurality of IMD's (10, Fig. 2) including cardiac signal, patient activity sensors or other physiologic sensor that have already removed patient identifying data since this information is all that is being collected (see: column 12, lines 9-23).

(D) In response to Applicant's argument that, (3) the Evans reference fails to teach

“...wherein the verification data comprises the image data” as recited in claim 35. The Examiner respectfully submits Evans a data source (370, Fig. 23) that comprises physical data (374, Fig. 23) such as paper based records and photographs, and electronic mainframe data (376, Fig. 24). The converter (372, Fig. 24) receives information from the data source (370, Fig. 24) and transforms the information into an electronic format compatible with the EMR system. For example, to input physical data (374, Fig. 24) such as paper or image based data, into a patient record, the converter (372, Fig. 24) comprises a scanner to digitize the physical data into a binary file format for incorporation into the patient's record (see: column 12, lines 35-46). Furthermore, Evans teaches an electronic medical record system including web servers (406, Fig. 24) that allow patient data to be transferred between external sources as well as updating the patient record obviously suggesting that the comparing and checking of medical data take place to verify that an up-to-date medical record is available (see: column 3, lines 37-43 and column 5, lines 36-40).

With regard to Applicant's other arguments, it is respectfully submitted that the Examiner has applied recited new passages and citations to amended claims 1, 10, 15, 20, 23, 24, 27 and 29 at the present time. The Examiner notes that amended limitations were not in the previously pending claims as such, Applicant's remarks with regard to the application of Evans, Nichols, Portwood et al. and/or Chudy et al. to the amended limitations are moot in light of Thompson reference and addressed in the above Office Action.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ROBERT W. MORGAN whose telephone number is (571)272-6773. The examiner can normally be reached on 9:00 a.m. - 5:30 p.m. Mon - Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, C. Luke Gilligan can be reached on (571) 272-6770. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Robert Morgan/  
Primary Examiner, Art Unit 3626